

JCO2E PCT/PTD 06 MAY 2002

<small>FORM PTO-1390 OFFICE (REV 11-2000)</small>		<small>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK</small>		<small>ATTORNEY'S DOCKET NUMBER</small> 449122020200	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371					
<small>INTERNATIONAL APPLICATION NO.</small> PCT/DE00/02102		<small>INTERNATIONAL FILING DATE</small> June 28, 2000		<small>U.S. APPLICATION NO. (If known, see 37 CFR 1.5)</small> 10/019,064	
				<small>PRIORITY DATE CLAIMED</small> June 29, 1999	
<small>TITLE OF INVENTION</small> PROCESSING A REQUEST TO AN OPERATOR SERVICE					
<small>APPLICANT(S) FOR DO/EO/US</small> Christian HAVLIS et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<div><div>1. <input type="checkbox"/></div><div>This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</div></div> <div><div>2. <input checked="" type="checkbox"/></div><div>This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</div></div> <div><div>3. <input type="checkbox"/></div><div>This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</div></div> <div><div>4. <input type="checkbox"/></div><div>The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</div></div> <div><div>5. <input type="checkbox"/></div><div>A copy of the International Application as filed (35 U.S.C. 371(c)(2))</div><div><div>a. <input type="checkbox"/></div><div>is attached hereto (required only if not communicated by the International Bureau).</div></div><div><div>b. <input type="checkbox"/></div><div>has been communicated by the International Bureau.</div></div><div><div>c. <input type="checkbox"/></div><div>is not required, as the application was filed in the United States Receiving Office (RO/US).</div></div></div> <div><div>6. <input type="checkbox"/></div><div>An English language translation of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).</div><div><div>a. <input type="checkbox"/></div><div>is attached hereto.</div></div><div><div>b. <input type="checkbox"/></div><div>has been previously submitted under 35 U.S.C. 154(d)(4).</div></div></div> <div><div>7. <input type="checkbox"/></div><div>Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).</div><div><div>a. <input type="checkbox"/></div><div>are attached hereto (required only if not communicated by the International Bureau).</div></div><div><div>b. <input type="checkbox"/></div><div>have been communicated by the International Bureau.</div></div><div><div>c. <input type="checkbox"/></div><div>have not been made; however, the time limit for making such amendments has NOT expired.</div></div><div><div>d. <input type="checkbox"/></div><div>have not been made and will not be made.</div></div></div> <div><div>8. <input type="checkbox"/></div><div>An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</div></div> <div><div>9. <input checked="" type="checkbox"/></div><div>An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</div></div> <div><div>10. <input type="checkbox"/></div><div>An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</div></div>					

Items 11. to 16. below concern document(s) or information included:

11. ☐

An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☒

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☒

A FIRST preliminary amendment.

14. ☐

A SECOND or SUBSEQUENT preliminary amendment.

15. ☒

A substitute specification.

16. ☐

A change of power of attorney and/or address letter.

17. ☐

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

18. ☐

A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. ☐

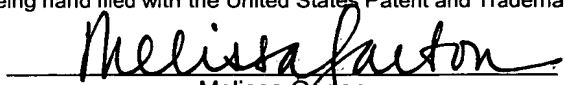
A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

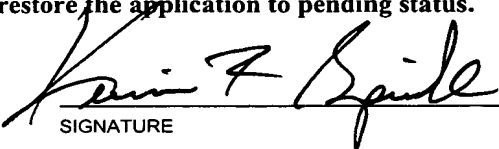
20. ☒

Other items or information: 1) Copy of Missing Requirements Notice

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on May 6, 2002.


Melissa Carlson

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/019,064		INTERNATIONAL APPLICATION NO. PCT/DE00/02102		ATTORNEY DOCKET NO. 449122020200	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provision of PCT Article 33(1)-(4)\$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		x \$18.00	\$0	
Independent claims	- 3 =		x \$84.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$280.00	
TOTAL OF ABOVE CALCULATIONS =				\$410.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0	
SUBTOTAL =				\$410.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$0
TOTAL NATIONAL FEE =				\$410.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$40.00
TOTAL FEES ENCLOSED =				\$450.00	
05/10/2002 SNAJARRO 00000073 031952 10019064 01 FC:154 130.00 CH 02 FC:968 280.00 CH				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 03-1952 (referencing Docket No. 449122020200) in the amount of \$450.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
b. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 03-1952 (referencing Docket No. 449122020200).					
Adjustment date: 07/05/2002 WALLACE 05/10/2002 SNAJARRO 00000073 031952 10019064 02 FC:968 280.00 CR					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Kevin R. Spivak Morrison & Foerster LLP 2000 Pennsylvania Avenue, N.W. Washington, D.C. 20006-1888					
				 SIGNATURE	
				Kevin R. Spivak Registration No. 43,148	
				May 6, 2002	



UNITED STATES PATENT AND TRADEMARK OFFICE

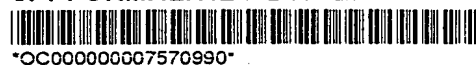
 Commissioner for Patents, Box 401
 United States Patent and Trademark Office
 Washington, D.C. 20503
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,064 ✓	Christian Havlis	449122020200
INTERNATIONAL APPLICATION NO.		
PCT/DE00/02102		
IA. FILING DATE	PRIORITY DATE	
06/28/2000		

Kevin R. Spivak
 Morrison & Foerster
 2000 Pennsylvania Avenue, N.W.
 Washington, DC 20006-1888

CONFIRMATION NO. 1908

371 FORMALITIES LETTER



OC000000007570990

Date Mailed: 03/06/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

DOCKETED RF
 Missing Requirements Due 05-06-02
 Missing Requirements Last Day
 Due 10-11-02

- Additional claim fees of **\$280** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$410** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$280**
 - **\$280** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
10/019,064	PCT/DE00/02102	44912202000